PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notificat	form should be used to correspondence including d below or directed officions.	for transmitting the I ag the Patent, advance in Block 1, b	SSUE FEE and PUBLICA e orders and notification of y (a) specifying a new corn					
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of addr	E/	e(c) Transmittal Th	is certificate	cannot be used for	domestic mailings of the r any other accompanying t or formal drawing, must	
48490	7590 06/07	/2007			5.0			
3303 N. SHOWI	I, DODD & LINDS DOWN PL.	SEY, LLP	I Si ac tri	Centereby certify that the ates Postal Service valdressed to the Mainsmitted to the USP	rtificate of Mais Fee(s) Tra with sufficien 1 Stop ISSU TO	failing or Transn insmittal is being it postage for first E FEE address a	deposited with the United class mail in an envelope above, or being te indicated below.	
TUCSON, AZ 8:	5/49	_	Micha	el Linds	sey	(Depositor's name)		
				Make -	hh		(Signature)	
				9/4	/07		(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO)R	ATTORNEY	DOCKET NO.	CONFIRMATION NO.	
09/589,253	06/07/2000		Kerimcan Engin	····	.32	212/3	8672	
TITLE OF INVENTION: METHOD AND SYSTEM FOR EXCHANGE OF FINANCIAL INVESTMENT ADVICE								
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TO	TAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$700	\$0	\$0		\$700	09/07/2007	
EXAM	INER	ART UNIT	CLASS-SUBCLASS					
SUBRAMANIAN, N	ARAYANSWAMY	705-036000	J					
SUBRAMANIAN, NARAYANSWAMY 3692 705-036000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). (1) the names of up to 3 registered patent attorneys to the patent attorneys are considered by the names of up to 3 registered patent attorneys to the patent attorneys are considered by the names of up to 3 registered patent attorneys to the patent attorneys are considered by the names of up to 3 registered patent attorneys to the patent attorneys are considered by the patent attorneys to the patent atto								
		e or agents OR, alterna	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			registered attorney o	(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)								
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.								
(A) NAME OF ASSIC		(B) RESIDENCE: (CITY and STATE OR COUNTRY)						
Robert C.	Osborne		Evanston,	IL				
Please check the appropriate assignee category or categories (will not be printed on the patent): Maindividual Corporation or other private group entity Government								
4a. The following fee(s) a	are submitted:	•	4b. Payment of Fee(s): (Pl		ny previousl	y paid issue fee s	hown above)	
Issue Fee	; : :::::::::::::::::::::::::::::::::::	A check is enclosed XPayment by credit of						
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			☐ The Director is here	by authorized to cha	rge the requir	ed fee(s), any def	iciency, or credit any	
Advance Order - #	f of Copies		overpayment, to De	posit Account Numb	er	(enclose an	extra copy of this form).	
5. Change in Entity Stat	tus (from status indicates SMALL ENTITY state		☐ b. Applicant is no le	onger claiming SMA	LL ENTITY	status. See 37 CF	R 1.27(g)(2).	
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if req	uired) will not be acco	epted from anyone other than	the applicant; a reg	istered attorn	ey or agent; or the	assignee or other party in	
Authorized Signature	Ori ke a	Ludse	3	Date 4	-SEPT-20	007		
Typed or printed name	Michael Li	indsey		Registration 1	No. 39	,278		
		CFR 1.311. The inform U.S.C. 122 and 37 C	nation is required to obtain of FR 1.14. This collection is corrected the income of th			ich is to file (and emplete, including the amount of time	by the USPTO to process) gathering, preparing, and the you require to complete	

submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.